

Key Metrics (Capital adequacy ratio) as of September 30, 2021: The last five quarterly movements

<Sumitomo Mitsui Trust Holdings, Inc.>

[Consolidated, International standard]

(Millions of yen, except percentages)

KM1: Key metrics						
Basel III template No.		a	b	c	d	e
		September 30, 2021	June 30, 2021	March 31, 2021	December 31, 2020	September 30, 2020
Available capital (amounts)						
1	Common Equity Tier 1 (CET1)	2,490,704	2,474,209	2,455,126	2,449,030	2,449,874
2	Tier 1	2,772,270	2,755,445	2,736,717	2,731,950	2,802,616
3	Total capital	3,208,854	3,200,354	3,190,944	3,264,079	3,349,226
Risk-weighted assets (amounts)						
4	Total risk-weighted assets (RWA)	19,674,886	20,014,533	20,243,399	20,209,728	19,711,096
Risk-based capital ratios as a percentage of RWA						
5	Common Equity Tier 1 ratio (%)	12.65%	12.36%	12.12%	12.11%	12.42%
6	Tier 1 ratio (%)	14.09%	13.76%	13.51%	13.51%	14.21%
7	Total capital ratio (%)	16.30%	15.99%	15.76%	16.15%	16.99%
Additional CET1 buffer requirements as a percentage of RWA						
8	Capital conservation buffer requirement (%)	2.50%	2.50%	2.50%	2.50%	2.50%
9	Countercyclical buffer requirement (%)	0.00%	0.00%	0.00%	0.00%	0.00%
10	Bank G-SIB and/or D-SIB additional requirements (%)	0.50%	0.50%	0.50%	0.50%	0.50%
11	Total of bank CET1 specific buffer requirements (%)	3.00%	3.00%	3.00%	3.00%	3.00%
12	CET1 available after meeting the bank's minimum capital requirements (%)	8.09%	7.76%	7.51%	7.51%	7.92%
Basel III leverage ratio						
13	Total Basel III leverage ratio exposure measure	48,936,866	48,967,773	49,441,295	49,395,230	47,847,547
14	Basel III leverage ratio (%)	5.66%	5.62%	5.53%	5.53%	5.85%